

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT TACOMA

LINDA M. RAYMENT,

Plaintiff,

V.

CAROLYN W. COLVIN, Acting
Commissioner of the Social Security
Administration.

Defendant.

CASE NO. 3:15-ocv-05904 JRC

ORDER ON PLAINTIFF'S
COMPLAINT

This Court has jurisdiction pursuant to 28 U.S.C. § 636(c), Fed. R. Civ. P. 73 and Local Magistrate Judge Rule MJR 13 (*see also* Notice of Initial Assignment to a U.S. Magistrate Judge and Consent Form, Dkt. 3; Consent to Proceed Before a United States Magistrate Judge, Dkt. 4). This matter has been fully briefed (*see* Dkt. 13, 18, 19).

After considering and reviewing the record, the Court concludes that the Administrative Law Judge’s (“ALJ”) written decision is supported by substantial evidence in the record as a whole. The Court concludes that the ALJ properly assessed

1 the medical opinion evidence, plaintiff's allegations of disabling limitations, and the lay
 2 witness statements. Thus, the Commissioner's decision is affirmed pursuant to sentence
 3 four of 42 U.S.C. § 405(g).

4 BACKGROUND

5 Plaintiff, LINDA M. RAYMENT, was born in 1959 and was 48 years old on the
 6 alleged date of disability onset of July 16, 2007 (*see* AR. 186-92). Plaintiff completed
 7 high school (AR. 45). Plaintiff has work experience as a bartender, cook, waitress,
 8 dishwasher and administrative office assistant (AR. 48-49, 257-65).

9 According to the ALJ, through the date last insured, plaintiff has at least the severe
 10 impairments of "lumbar spondylosis, degenerative disc disease, facet arthropathy, and
 11 chronic pain disorder (20 CFR 404.1520(c))" (AR. 12).

12 At the time of the hearing, plaintiff was living in a house with her husband, her
 13 daughter and her daughter's fiancé (AR. 45).

14 PROCEDURAL HISTORY

15 Plaintiff's application for disability insurance benefits ("DIB") pursuant to 42
 16 U.S.C. § 423 (Title II) of the Social Security Act was denied initially and following
 17 reconsideration (*see* AR. 82-91, 93-103). Plaintiff's requested hearing was held before
 18 Administrative Law Judge James W. Sherry ("the ALJ") on June 25, 2015 (*see* AR. 33-
 19 80). On August 27, 2015, the ALJ issued a written decision in which the ALJ concluded
 20 that plaintiff was not disabled pursuant to the Social Security Act (*see* AR. 7-32).

21
 22 In plaintiff's Opening Brief, plaintiff raises the following issues: (1) Whether or
 23 not the ALJ properly evaluated the medical evidence; (2) Whether or not the ALJ
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1 properly evaluated plaintiff's testimony; (3) Whether or not the ALJ properly evaluated
2 the lay evidence; (4) Whether or not the ALJ properly assessed plaintiff's residual
3 functional capacity; and (5) Whether or not the ALJ erred by basing his step four and five
4 findings on a residual functional capacity assessment that did not include all of plaintiff's
5 limitations (see Dkt. 13, p. 2).

6 STANDARD OF REVIEW

7 Pursuant to 42 U.S.C. § 405(g), this Court may set aside the Commissioner's
8 denial of social security benefits if the ALJ's findings are based on legal error or not
9 supported by substantial evidence in the record as a whole. *Bayliss v. Barnhart*, 427 F.3d
10 1211, 1214 n.1 (9th Cir. 2005) (citing *Tidwell v. Apfel*, 161 F.3d 599, 601 (9th Cir.
11 1999)).

13 DISCUSSION

14 (1) **Whether the ALJ properly evaluated the medical evidence.**

15 Plaintiff maintains the ALJ erred in evaluating the medical opinions of Paul J.
16 Allen, M.D., Robert G.R. Lang, M.D., Emily Clay, M.D., and Drew Stevick, M.D. (see
17 Dkt. 13, pp. 3-10).

18 The ALJ is responsible for evaluating a claimant's testimony and resolving
19 ambiguities and conflicts in the medical evidence. *Reddick v. Chater*, 157 F.3d 715, 722
20 (9th Cir. 1998) (citing *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th Cir. 1995)).
21 Determining whether or not inconsistencies in the medical evidence "are material (or are
22 in fact inconsistencies at all) and whether certain factors are relevant to discount" the
23 opinions of medical experts "falls within this responsibility." *Morgan v. Comm'r of Soc.*

1 *Sec. Admin.*, 169 F.3d 595, 603 (9th Cir. 1999)). If the medical evidence in the record is
2 not conclusive, sole responsibility for resolving conflicting testimony and evaluating a
3 claimant's testimony lies with the ALJ. *Sample v. Schweiker*, 694 F.2d 639, 642 (9th Cir.
4 1982) (quoting *Waters v. Gardner*, 452 F.2d 855, 858 n.7 (9th Cir. 1971) (citing *Calhoun
5 v. Balar*, 626 F.2d 145, 150 (9th Cir. 1980))).

6 a. Paul J. Allen, M.D.

7 Dr. Allen treated plaintiff for pain management for complaints of “low back pain,
8 buttock pain and a burning sensation down both legs” (AR. 569; *see also* AR. 570-73).
9 Dr. Allen diagnosed plaintiff with chronic low back pain “with MRI evidence of
10 degenerative spondylolysis around the L-3-4 level with fluid present at facet joints
11 bilaterally at L304” (AR. 570). Dr. Allen also diagnosed plaintiff with status post facet
12 injections without lasting benefit (AR. 570). At the end of his treatment note after a
13 September 2007 appointment, Dr. Allen noted that plaintiff had last worked in July 2002,
14 that he had recommended plaintiff to continue off work in August 2002, but that the
15 “[p]lan is to RTW [return to work] ASAP with lifting restrictions” (AR. 573). With
16 respect to restrictions, Dr. Allen wrote “unknown” and asked “Is part-time, limited duty
17 available at the work place?” (AR. 573).

18 The ALJ gave little weight to Dr. Allen's opinion questioning whether part-time
19 work was available (AR. 21). The ALJ noted the opinion was (1) “of less value when
20 considering what the claimant is able to do on a function-by-function analysis” and
21 (2) merely a suggestion and not a limitation (AR. 21).

Plaintiff argues the ALJ “does not state any legitimate reason for rejecting” Dr. Allen’s opinion (Dkt. 13, p. 3). The Court disagrees. An ALJ need not discuss evidence that is neither significant nor probative. *See Howard ex rel. Wolff v. Barnhart*, 341 F.3d 1006, 1012 (9th Cir.2003); *Vincent v. Heckler*, 739 F.2d 1393, 1395 (9th Cir. 1984). A doctor’s opinion devoid of any opined limitations is not significant or probative. *See, e.g.*, *Hughes v. Colvin*, No. C13-0143-MAT, 2013 WL 11319016, at *3 (W.D. Wash. Aug. 14, 2013), *aff’d*, 599 F. App’x 765 (9th Cir. 2015) (citing *Turner v. Comm’r of Social Sec. Admin.*, 613 F.3d 1217, 1223 (9th Cir. 2010) (explaining that where a doctor’s opinion does not assign any specific limitations, an ALJ need not provide reasons for rejecting the opinion because none of the conclusions were actually rejected)). The Court agrees with the ALJ that Dr. Allen did not opine that plaintiff is limited to part-time work. Rather, Dr. Allen indicated his plan that plaintiff should return to work as soon as possible, he indicated he did not know what restrictions would be necessary, and he asked whether part-time work was available (*see* AR. 573). Given that Dr. Allen did not assign any specific limitations to plaintiff, the ALJ did not err in assigning minimal weight to Dr. Allen’s opinion because no conclusions were actually rejected.

b. Michael Boyd, M.D.

Plaintiff summarized Dr. Boyd's treatment records and various visits with plaintiff (see Dkt. 13, pp. 4-10). However, plaintiff does not assign any errors to the ALJ's treatment of Dr. Boyd's medical opinion (see Dkt. 13, pp. 4-10). The Court "cannot manufacture arguments" for plaintiff, and can only review issues argued with specificity in plaintiff's opening brief. *Indep. Towers of Washington v. Washington*, 350 F.3d 925,

1 929 (9th Cir. 2003) (citation and quotation omitted); *see also Carmickle v. Comm'r of*
 2 *Social Sec. Admin.*, 533 F.3d 1155, 1161 n.2 (9th Cir. 2008) (“We do not address this
 3 finding because Carmickle failed to argue this issue with any specificity in his briefing.”);
 4 *Volkle v. Astrue*, No. C11-1881-MJP-JPD, 2012 WL 2576335, at *3 n.2 (W.D. Wash.
 5 June 14, 2012), *report and recommendation adopted*, No. C11-1881-MJP, 2012 WL
 6 2573065 (W.D. Wash. July 2, 2012) (same). Accordingly, in the absence of argument
 7 from plaintiff regarding the ALJ’s treatment of Dr. Boyd’s medical opinion, the Court
 8 finds that plaintiff has failed to argue any issues with specificity.
 9

10 At the end of her summary of the medical evidence, plaintiff argues that the Court
 11 “should hold that the ALJ’s failure to properly evaluate all of the medical evidence is
 12 harmful error, as a reasonable ALJ who properly evaluated the medical evidence could
 13 have reached a different disability determination” (Dkt. 13, p. 10). As an initial matter,
 14 plaintiff essentially asks this Court to reweigh the medical evidence. However, that is not
 15 the role of the Court. Rather, as the Ninth Circuit has explained:

16 . . . It is immaterial that the evidence in a case would permit a different
 17 conclusion than that which the [Commissioner] reached. If the
 18 [Commissioner]’s findings are supported by substantial evidence, the
 19 courts are required to accept them. It is the function of the
 20 [Commissioner], and not the court’s to resolve conflicts in the evidence.
 21 While the court may not try the case *de novo*, neither may it abdicate its
 22 traditional function of review. It must scrutinize the record as a whole to
 23 determine whether the [Commissioner]’s conclusions are rational. If
 24 they are . . . they must be upheld.

21 *Sorenson v. Weinberger*, 514 F.2d 1112, 1119 n.10 (9th Cir. 1975). Thus, the Court will not
 22 reweigh the medical evidence to determine whether the ALJ could have come to a
 23 different conclusion. Moreover, this Court declines the invitation to seek out gremlins of
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1 error in the record and must rely on the arguments presented, not the arguments plaintiff
2 has not presented. *See Carmickle*, 533 F.3d at 1161 n.2. Accordingly, plaintiff has failed
3 to establish that the ALJ erred in his treatment of Dr. Boyd's medical opinion.

4 c. Robert G.R. Lang, M.D.

5 Plaintiff also summarized Dr. Lang's treatment records (*see* Dkt. 13, pp. 4-10).
6 She then argues that the ALJ erred in evaluating Dr. Lang's opinion because “[n]one of
7 the ALJ's reasons are legitimate” and the ALJ erred in failing to give Dr. Lang's opinions
8 the “highest weight” because he is a treating physician (Dkt. 13, p. 9).

9 Dr. Lang began treating plaintiff in 2007 for back pain following a workplace
10 injury (AR. 400-01). At follow-up visits, Dr. Lang informed plaintiff that inactivity is not
11 beneficial, expressed concern about “prolonged incapacity”, and encouraged plaintiff to
12 “work through her discomfort” (AR. 389, 391, 395, 399). In January 2008, Dr. Lang
13 encouraged plaintiff to “return to light work” (AR. 395). In March 2008, upon learning
14 plaintiff could have a job in the accounting department at a casino, Dr. Lang suggested
15 plaintiff “check to see if she can return part time four hours a day to begin” (AR. 391). At
16 another visit in March 2008, Dr. Lang “recommend[ed] a vocational consultant to assist
17 with [plaintiff's] return to light duty work” (AR. 389). In June 2008, Dr. Lang suggested
18 a work hardening program at the end of a 7-week counseling program (AR. 385). In
19 August and September 2008, Dr. Lang again suggested vocational counseling so that
20 plaintiff could return to light work (AR. 380, 382). In December 2008, Dr. Lang
21 suggested that plaintiff progress to work conditioning and work hardening (AR. 375). In
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1 October 2009, Dr. Lang opined that plaintiff should be able to return to work fulltime as a
 2 receptionist following a four week work conditioning and hardening program (AR. 356).

3 The ALJ discounted Dr. Lang's medical opinions "that the claimant was unable to
 4 return to work or was limited to part-time work" (AR. 22). The ALJ noted that this
 5 opinion was "inconsistent with [Dr. Lang's] encouragement of the claimant returning to
 6 light duty work or seeking out work with a vocational counselor" (AR. 22). The ALJ also
 7 noted Dr. Lang's opinion was inconsistent with the clinical observations in the treatment
 8 record" (AR. 22). However, the ALJ found Dr. Lang's opinion during treatment—that
 9 plaintiff could perform light work full-time—consistent with plaintiff's activities of daily
 10 living, the objective imaging, and clinical observations and thus gave that opinion some
 11 weight (AR. 22).

13 As an initial matter, plaintiff again asks the Court to reweigh the medical evidence
 14 and come to a different conclusion (*see* Dkt. 13, p. 10). However, as noted above, the
 15 Court may only address those arguments presented with specificity, and the Court
 16 declines to manufacture arguments for plaintiff. *See Carmickle*, 533 F.3d at 1161 n.2.

17 Plaintiff also argues that the ALJ erred in giving little weight to Dr. Lang's
 18 opinions regarding plaintiff's ability to return to work and argues that "[n]one of the
 19 ALJ's reasons are legitimate" (Dkt. 13, p. 9). However, an ALJ may discount the opinion
 20 of a treating or examining physician if the opinion is inconsistent with the treating
 21 physician's objective examination, findings, and records. *See Valentine v. Comm'r SSA*,
 22 574 F.3d 685, 692 (9th Cir. 2009); *Tommasetti v. Astrue*, 533 F.3d 1035, 1041 (9th Cir.
 23 2008) (noting an ALJ may reject a doctor's opinion where answers on a questionnaire are
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1 “inconsistent with the medical records”); *see also Hunt v. Colvin*, 954 F. Supp. 2d 1181,
2 1189 (W.D. Wash. 2013) (“[B]ecause Plaintiff has not shown that the ALJ erred in
3 finding that [the doctor’s] opinion was not adequately supported by clinical findings, the
4 ALJ’s first reason for discounting [the doctor’s] opinion should be affirmed.”). As noted
5 above, throughout the relevant period of disability, Dr. Lang opined that plaintiff should
6 return to work full-time and that she should be able to perform light duty work (*see, e.g.*,
7 AR. 356, 380, 382, 385, 389, 395). Thus, the ALJ properly rejected Dr. Lang’s
8 inconsistent statements opining that plaintiff could only return to part-time work. As the
9 ALJ offered at least one specific and legitimate reason supported by substantial evidence
10 to discount Dr. Lang’s opinion, the Court upholds the ALJ’s determination. *See*
11 *Carmickle*, 533 F.3d at 1162.

13 Plaintiff also asserts that the ALJ failed to give the “proper deference” to Dr.
14 Lang’s medical opinion and failed to give the “highest weight” and/or “controlling
15 weight” to Dr. Lang’s opinion instead of Dr. Stevick’s medical opinion (Dkt. 13, p. 9). In
16 general, more weight is given to a treating medical source’s opinion than to the opinions
17 of those who do not treat the claimant. *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir. 1996)
18 (citing *Winans v. Bowen*, 853 F.2d 643, 647 (9th Cir. 1987)). However, an ALJ need not
19 accept the opinion of a treating physician, if that opinion is brief, conclusory and
20 inadequately supported by clinical findings or by the record as a whole. *Batson v.*
21 *Commissioner of Social Security Administration*, 359 F.3d 1190, 1195 (9th Cir. 2004)
22 (citing *Tonapetyan v. Halter*, 242 F.3d 1144, 1149 (9th Cir. 2001)). As noted above, the
23 ALJ properly rejected the portion of Dr. Lang’s opinion regarding plaintiff’s limitation to
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1 return to work on a part-time basis because it was inconsistent and inadequately
 2 supported by his treatment records. Thus, the ALJ properly rejected the portion of Dr.
 3 Lang's opinion that was unsupported by his clinical records. *See Batson*, 359 F.3d at
 4 1195. Accordingly, plaintiff has failed to establish that the ALJ erred in evaluating Dr.
 5 Lang's medical opinion.

6 d. Emily Clay, M.D.

7 Plaintiff also notes that the ALJ gave "little weight" to Dr. Clay's June 2014
 8 opinion that plaintiff has functional limitations (Dkt. 13, p. 10). Although plaintiff
 9 acknowledges that Dr. Clay's opinion has "limited probative value" for the relevant
 10 period of disability, plaintiff argues that Dr. Clay's opinion demonstrates that plaintiff
 11 had functional limitations through the date the ALJ issued his decision (*see id.*).

13 Plaintiff's assertion does not appear moored to a specific assignment of error, and
 14 the Court again declines to consider arguments for plaintiff that plaintiff has not
 15 specified. *See Indep. Towers of Washington*, 350 F.3d at 929. Moreover, as noted by
 16 defendant, Dr. Clay issued her opinion nearly four years after the date last insured (*see*
 17 AR. 25, 642-47). Although "medical evaluations made after the expiration of a claimant's
 18 insured status are relevant to an evaluation of the pre-expiration condition", *see Smith v.*
 19 *Bowen*, 849 F.2d 1222, 1225 (9th Cir. 1988), plaintiff concedes that Dr. Clay's opinion
 20 was of limited probative value given that it related to a period nearly four years after
 21 plaintiff's date last insured. *See, e.g., Capobres v. Astrue*, No. CV 1:09-682-REB, 2011
 22 WL 1114256, at *5 (D. Idaho Mar. 25, 2011) (finding that the ALJ did not err in rejecting
 23 the doctor's opinion where it was offered after the relevant period of disability and "was

1 not offered as a retrospective analysis" of the plaintiff's impairments during the relevant
2 period). Accordingly, plaintiff has failed to establish that the ALJ erred in considering Dr.
3 Clay's medical opinion.

4 e. Drew Stevick, M.D.

5 Plaintiff also argues that the ALJ improperly gave "significant weight" to
6 nonexamining physician Dr. Stevick's medical opinion because "contrary to the ALJ's
7 analysis, Dr. Stevick's opinion is actually inconsistent with the medical evidence,
8 including the opinions of Dr. Allen, Dr. Lang, Mr. Mertens, and Ms. Lang" (Dkt. 13, p.
9 9). Plaintiff also argues that Dr. Stevick's opinion was entitled to less weight than Dr.
10 Lang (*id.*, pp. 9-10).

12 Dr. Stevick, a State agency medical consultant, offered an opinion on May 22,
13 2013 regarding plaintiff's disability during the relevant period of disability (AR. 99-101).
14 Dr. Stevick opined that plaintiff is "partially credible [and] would have some limits from
15 her conditions, but not to the degree of disability (AR. 99). Dr. Stevick also opined that
16 plaintiff could occasionally lift 20 pounds and frequently lift 10 pounds, stand or walk for
17 six hours and sit for six hours in an eight-hour workday, and sit for six hours in an eight-
18 hour workday" (AR. 99-101).

19 The ALJ gave Dr. Stevick's opinion significant weight, finding it "consistent with
20 the clinical observations during treatment, discussed above, such as walking with a
21 normal gait and having intact strength" (AR. 23). The ALJ also noted that Dr. Stevick's
22 opinion was consistent with the MRIs during the relevant period, plaintiff's exams with
23 the Department for Labor and Industries, and plaintiff's activities of daily living (AR.
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1 23). The ALJ also noted that Dr. Stevick's opinion was consistent with Dr. Lang's
2 opinion that plaintiff could return to light duty work (AR. 23).

3 First, plaintiff argues that the ALJ erred by giving Dr. Stevick's opinion more
4 weight than Dr. Lang's medical opinion (*see* Dkt. 10, p. 9). An examining physician's
5 opinion is "entitled to greater weight than the opinion of a nonexamining physician."
6 *Lester*, 81 F.3d at 830 (citations omitted); *see also* 20 C.F.R. §§ 404.1527(c)(1)
7 ("Generally, we give more weight to the opinion of a source who has examined you than
8 to the opinion of a source who has not examined you"). A non-examining physician's or
9 psychologist's opinion may not constitute substantial evidence by itself sufficient to
10 justify the rejection of an opinion by an examining physician or psychologist. *Lester*, 81
11 F.3d at 831 (citations omitted). However, "it may constitute substantial evidence when it
12 is consistent with other independent evidence in the record." *Tonapetyan*, 242 F.3d at
13 1149 (citing *Magallanes*, 881 F.2d at 752). As an initial matter, the ALJ actually noted
14 that Dr. Stevick's opinion was consistent with Dr. Lang's opinion that plaintiff could
15 return to full-time light duty work (*see* AR. 23). Thus, the ALJ did not reject Dr. Lang's
16 opinion in favor of Dr. Stevick's opinion entirely. Indeed, the ALJ found the opinions
17 compatible, noting that "Dr. Stevick's opinion is also supported in part by Dr. Lang's
18 opinion that the claimant could return to light duty work" (AR. 23). Moreover, as
19 discussed in Section 1 subpart c, *supra*, the Court has already determined that the ALJ
20 properly rejected the portion of Dr. Lang's opinion that was unsupported by his clinical
21 records. *See Batson*, 359 F.3d at 1195. Thus, the ALJ did not err in giving significant
22 weight to Dr. Stevick's opinion while rejecting portions of Dr. Lang's opinion.
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1 Second, plaintiff argues that the ALJ's treatment of Dr. Stevick's opinion is
2 inconsistent with the medical evidence in the record (Dkt. 13, pp. 9-10). According to
3 Social Security Ruling 96-6p, State agency medical consultants "are highly qualified
4 physicians and psychologists who are experts in the evaluation of the medical issues in
5 disability claims under the Act." SSR 96-6p, 1996 LEXIS 3 at *4. Therefore, regarding
6 State agency medical consultants, the ALJ is "required to consider as opinion evidence"
7 their findings, and also is "required to explain in his decision the weight given to such
8 opinions." *Sawyer v. Astrue*, 303 F. App'x 453, 455 (9th Cir. 2008) (unpublished)
9 (citations omitted). An ALJ may only "reject the opinion of a non-examining physician
10 by reference to specific evidence in the medical record." *Sousa v. Callahan*, 143 F.3d
11 1240, 1244 (9th Cir. 1998) (citing *Gomez v. Chater*, 74 F.3d 967, 972 (9th Cir. 1996));
12 *Andrews*, 53 F.3d at 1041).

14 Here, the ALJ relied upon substantial evidence in the record to give significant
15 weight to Dr. Stevick's opinion (see AR. 23). For example, the ALJ noted that Dr.
16 Stevick's opinion was consistent with Dr. Lang's opinion regarding plaintiff's ability to
17 return to light duty and consistent with the exams for the Department of Labor and
18 Industries (AR. 23). The ALJ also noted that Dr. Stevick's opinion was consistent with
19 plaintiff's activities of daily living, including gardening, going to the casino, and driving
20 (AR. 23). Given that "questions of credibility and resolution of conflicts" are solely the
21 functions of the ALJ, *see Sample*, 694 F.2d at 642, "the ALJ's conclusion must be
22 upheld" where supported by substantial evidence. *See Morgan*, 169 F.3d at 601. Thus, the
23 ALJ properly considered Dr. Stevick's opinion in light of the record as a whole, and
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1 plaintiff has failed to establish that the ALJ erred in considering Dr. Stevick's medical
2 opinion.

(2) Whether the ALJ erred in evaluating the lay witness other medical source opinions.

5 Plaintiff also avers that the ALJ erred in evaluating the opinions of Occupational
6 Therapist Terry Mertens, Physical Therapist Lisa Lang, and Physical Therapist Lisa
7 Scheuffele (Dkt. 13, pp. 8, 10).

Pursuant to the relevant federal regulations, medical opinions from “other medical sources,” such as nurse practitioners, therapists and chiropractors, must be considered. See 20 C.F.R. § 404.1513 (d); see also *Turner*, 613 F.3d at 1223-24 (citing 20 C.F.R. § 404.1513(a), (d)); SSR 06-3p, 2006 WL 2329939. “Other medical source” testimony “is competent evidence that an ALJ must take into account,” unless the ALJ “expressly determines to disregard such testimony and gives reasons germane to each witness for doing so.” *Lewis v. Apfel*, 236 F.3d 503, 511 (9th Cir. 2001); *Turner*, 613 F.3d at 1224. “Further, the reasons ‘germane to each witness’ must be specific.” *Bruce v. Astrue*, 557 F.3d 1113, 1115 (9th Cir. 2009).

a. Terry Mertens, OTR/L and Lisa H. Lang, PT

19 Mr. Mertens and Ms. Lang began work conditioning treatment with plaintiff in
20 December 2009 (AR. 675). Mr. Mertens and Ms. Lang opined that plaintiff's
21 "[s]ubjective complaints exceed objective findings" (AR. 684). They also discharged
22 plaintiff as ready to return to work on March 8, 2010, three months after the date last
23 insured (*id.*). They opined that plaintiff would be able to function at the "sedentary-light

1 level of work category” 8 hours a day. They also opined that plaintiff “has not shown any
 2 initiative on her own to [return to work] in spite of frequent encouragement to do so”
 3 (AR. 684).

4 The ALJ gave some weight to Mr. Mertens’s and Ms. Lang’s opinion, noting that
 5 “during the course of the work hardening program the therapists had the opportunity to
 6 observe the claimant multiple days a week for several weeks” (AR. 23). However, the
 7 ALJ noted that greater weight was not given to their opinion because: (1) plaintiff
 8 presented with more pain limitations than supported by clinical observations, thus
 9 interfering “with the therapists’ ability to determine the claimant’s residual functional
 10 capacity”; (2) they did not have an opportunity to “consider the claimant’s various
 11 activities” discussed previously, such as plaintiff’s regular attendance at a casino; and
 12 (3) they did not have access to the other clinical treatment records contradicting their
 13 findings (AR. 23).

15 Plaintiff argues that the ALJ “does not state any legitimate reason for rejecting the
 16 opinion of Mr. Mertens and Ms. Lang that prior to March 8, 2010, [plaintiff] could not
 17 sit/stand/walk for a full eight hour workday” (Dkt. 13, pp. 8-9). However, the Court finds
 18 that the ALJ gave at least two germane reasons for discounting Mr. Mertens’s and Ms.
 19 Lang’s opinions.

20 First, Mr. Mertens and Ms. Lang opined that plaintiff’s complaints exceeded
 21 objective findings and that she lacked initiative to return to work. The ALJ determined
 22 that their evaluation was thus impeded by plaintiff’s lack of initiative and apparent
 23 secondary gain motives (*see* AR. 23). Given that even Mr. Mertens and Ms. Lang

1 acknowledged that plaintiff's pain allegations exceeded the objective evidence, the Court
 2 finds that the ALJ's first reason for discounting their opinion is germane. Had plaintiff
 3 shown initiative or had her subjective complaints matched the objective evidence, the
 4 therapists' opinion may have been different.

5 Second, an ALJ may discount a physical therapist's opinion to the extent it
 6 conflicts with the claimant's daily activities. *Drake v. Comm'r of Soc. Sec.*, No. 3:12-CV-
 7 02223-AC, 2014 WL 3591547, at *4 (D. Or. July 21, 2014) (citing *Morgan*, 169 F.3d at
 8 601-02). As noted by the ALJ, plaintiff regularly went to the casino (*see, e.g.*, AR. 23),
 9 which would undermine the therapists' opinions regarding plaintiff's functional
 10 limitations during the relevant period of disability. Thus, the ALJ's second reason for
 11 discounting the therapists' opinion is also germane.

13 Third, the Court finds that failure to review other medical opinions is not a
 14 germane reason for discounting the therapists' opinions, especially where, as here, the
 15 therapists based their opinions on their own clinical assessment. *See, e.g.*, *Couch v.*
 16 *Colvin*, No. 3:12-CV-05990-KLS, 2013 WL 6173022, at *4 (W.D. Wash. Nov. 22,
 17 2013). Nevertheless, because the ALJ offered at least two germane reasons for
 18 discounting Mr. Mertens's and Ms. Lang's opinions, the ALJ's treatment of their opinion
 19 is affirmed.

20 b. Lisa Scheuffele, PT

22 Plaintiff also argues that the ALJ erred by giving little weight to the June 2014
 23 opinion of Physical Therapist Lisa Scheuffele (*see* Dkt. 13, p. 10). Ms. Scheuffele opined
 24 that plaintiff has functional limitations related to her emotional factors and that she

1 believed plaintiff would need to change positions or her posture frequently (AR. 635-40).
2 The ALJ rejected Ms. Scheuffele's opinion and gave it little weight in part because it was
3 based upon her treatment of plaintiff over two years after the claimant's date last insured
4 (see AR. 25). As conceded by plaintiff, Ms. Scheuffele's opinion is of limited probative
5 value because it is based upon "the time period for which patient was treated" in August
6 2012 through October 2013 (see AR. 640) and not based upon the relevant period of
7 disability. *See, e.g., Capobres*, 2011 WL 1114256, at *5. Thus, the ALJ provided a
8 germane reason and properly discounted Ms. Scheuffele's opinion.
9

10 **(3) Whether the ALJ properly evaluated plaintiff's testimony.**

11 Plaintiff also maintains that the ALJ erred in discounting her testimony because
12 the ALJ erred (1) in evaluating the medical evidence; (2) in finding objective imaging
13 consistent with plaintiff's ability to perform light work; (3) in finding plaintiff's
14 incontinence and tremors were not as limiting as plaintiff alleged; and (4) in finding
15 plaintiff's activities of daily living undermined her allegations of disabling limitations
16 (Dkt. 13, pp. 10-17).

17 To reject a claimant's subjective complaints, the ALJ must provide "specific,
18 cogent reasons for the disbelief." *Lester*, 81 F.3d at 834 (citation omitted). The ALJ
19 "must identify what testimony is not credible and what evidence undermines the
20 claimant's complaints." *Id.*; *Dodrill v. Shalala*, 12 F.3d 915, 918 (9th Cir. 1993). Unless
21 affirmative evidence shows the claimant is malingering, the ALJ's reasons for rejecting
22 the claimant's testimony must be "clear and convincing." *Lester*, 81 F.2d at 834. In
23 determining a claimant's credibility, the ALJ may consider "ordinary techniques of
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1 credibility evaluation,” such as reputation for lying, prior inconsistent statements
2 concerning symptoms, and other testimony that “appears less than candid.” *Smolen*, 80
3 F.3d at 1284. The ALJ may also consider if a claimant’s complaints are “inconsistent
4 with clinical observations[.]” *Regennitter v. Commissioner of Social Sec. Admin.*, 166
5 F.3d 1294, 1297 (9th Cir. 1998). Questions of credibility are solely within the control of
6 the ALJ. *Sample*, 694 F.2d at 642. The Court should not “second-guess” this credibility
7 determination. *Allen v. Heckler*, 749 F.2d 577, 580 (9th Cir. 1984). In addition, the Court
8 may not reverse a credibility determination where the determination is based on
9 contradictory or ambiguous evidence. *Id.* at 579.

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11 In this case, the ALJ found that plaintiff’s statements concerning the intensity,
12 persistence, and limiting effects of her symptoms to be not entirely credible (AR. 17).
13 The Court finds that the ALJ provided specific, cogent reasons supported by clear and
14 convincing evidence for disbelieving plaintiff’s testimony and statements regarding the
15 severity of her symptoms. *See Bruton v. Massanari*, 268 F.3d 824, 828 (9th Cir. 2001);
16 *Smolen v. Chater*, 80 F.3d 1273, 1284 (9th Cir. 1996).

17 First, the ALJ found that “[t]he treatment records are inconsistent with the
18 claimant’s allegations of debilitating limitations” (AR. 17). Plaintiff argues that the ALJ’s
19 determination that the treatment records are inconsistent with her allegations is not
20 supported by substantial evidence because the ALJ erred in his treatment of the medical
21 evidence (Dkt. 13, p. 11). However, the Court has already found that plaintiff has failed
22 to establish that the ALJ erred in his treatment of the medical evidence. *See* Section 1,
23 *supra*. Moreover, the Court finds that the ALJ’s determination is supported by substantial
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1 evidence. For example, the ALJ noted that although plaintiff self-limited during treatment
2 with Dr. Allen, due to reports of pain, “she was still able to independently move about the
3 room or go from sitting to standing” (AR. 17). The ALJ noted that at least one treatment
4 provider observed that plaintiff had “abnormal pain response” (AR. 18). The ALJ also
5 noted that plaintiff’s therapists observed that plaintiff “presented with more pain
6 limitations than was supported by the clinical observations” and that plaintiff did not
7 show initiative to return to work (*see* AR. 23). Such exaggerations support a negative
8 credibility determination. *See, e.g., Latta v. Astrue*, 482 F. App’x 261, 262 (9th Cir.
9 2012); *Turner*, 613 F.3d at 1225; *Tonapetyan*, 242 F.3d at 1148.

11 Second, the ALJ discounted plaintiff’s testimony and statements regarding her
12 symptoms by noting that “[o]bjective imaging is consistent with the claimant’s ability to
13 perform light work” during the relevant period (AR. 19). Although the ALJ summarized
14 the objective imaging during the relevant period (*see* AR. 19), the ALJ does not explain
15 how the objective imaging undermines plaintiff’s statements and testimony regarding her
16 limitations. Thus, the Court finds this second reason offered by the ALJ does not amount
17 to a clear and convincing reason to discount plaintiff’s statements and testimony
18 regarding her limitations.

19 Third, the ALJ discounted plaintiff’s testimony regarding her incontinence and
20 noted it was not as limiting as alleged (AR. 19). A determination that a claimant’s
21 complaints are “inconsistent with clinical observations” can satisfy the clear and
22 convincing requirement. *Regennitter*, 166 F.3d at 1297; *see also Fisher v. Astrue*, 429 F.
23 App’x 649, 651 (9th Cir. 2011). Here, the ALJ noted that plaintiff’s incontinence was

1 “generally dribbling” and the “soaking level of incontinence occurred three times from
2 early June to early September 2009” (AR. 19). The ALJ noted that plaintiff’s
3 incontinence improved at times during the relevant period, and that a urology evaluation
4 and bladder scan “showed no residual post voiding” (AR. 19). Further evaluation showed
5 no abnormalities (AR. 19). Thus, the Court finds that the ALJ properly rejected plaintiff’s
6 allegations that her incontinence caused debilitating limitations preventing her from
7 working.

8 Fourth, the ALJ found that plaintiff’s tremors are not as debilitating as alleged
9 either (AR. 20). The ALJ noted that plaintiff’s tremors were not consistently present
10 during several appointments during the relevant period. The medical records cited by the
11 ALJ demonstrate substantial evidence supports his determination that plaintiff’s
12 allegations of disabling symptoms regarding her tremors is inconsistent with the
13 longitudinal treatment record. Thus, the ALJ did not err in discounting plaintiff’s
14 testimony and complaints on this basis.

16 Fifth, the ALJ found that plaintiff’s activities of daily living undermine her
17 allegations of extreme and debilitating limitations (AR. 20). To determine whether a
18 claimant’s symptom testimony is credible, the ALJ may consider his or her daily
19 activities. *Smolen*, 80 F.3d at 1284. The Ninth Circuit has recognized “two grounds for
20 using daily activities to form the basis of an adverse credibility determination.” *Orn v.*
21 *Astrue*, 495 F.3d 625, 639 (9th Cir. 2007). First, such activities can “meet the threshold
22 for transferable work skills.” *Id.* Second, “[e]ven where those activities suggest some
23 difficulty functioning, they may be grounds for discrediting the claimant’s testimony to

1 the extent that they contradict claims of a totally debilitating impairment.” *Molina*, 674
2 F.3d at 1113. Under the second ground in *Orn*, a claimant’s activities of daily living can
3 “contradict his other testimony.” *Orn*, 495 F.3d at 639.

4 Here, the ALJ determined that plaintiff’s activities “are consistent with her ability
5 to perform light work, but are inconsistent with her allegations of extreme and
6 debilitating limitations” (AR. 20). The ALJ listed a number of inconsistencies between
7 plaintiff’s testimony and her activities of daily living. *See id.* For example, the ALJ noted
8 that although plaintiff alleged she could only stand for about 5 minutes and walk about
9 half a block, plaintiff went to the casino three times a week, “walking around for two
10 hours and seeing friends” (AR. 20). The ALJ also noted that plaintiff reported walking
11 for two miles during the relevant period and working out vigorously one year after her
12 alleged onset of disability (*see* AR. 20 citing AR. 331, 382). In short, the ALJ’s
13 determination that plaintiff’s activities of daily living contradict her other testimony is
14 supported by substantial evidence and the record as a whole. *Orn*, 495 F.3d at 639. Thus,
15 the ALJ did not err in discounting plaintiff’s testimony on this basis.

16 If the overall credibility finding is supported by substantial evidence in the record,
17 the ALJ’s determination is not invalid simply because one reason for discounting
18 plaintiff’s credibility was improper. *See Tonapetyan*, 242 F.3d at 1148. The ALJ gave
19 four valid reasons for finding plaintiff lacked credibility (*see* AR. 19-20). Accordingly,
20 the ALJ’s overall credibility decision is supported by substantial evidence in the record.
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(4) Whether the ALJ properly evaluated the lay evidence.

Plaintiff also argues the ALJ improperly rejected the lay witness evidence offered by her husband, Rory Rayment, and asserts that “[t]he activities listed by the ALJ are not meaningfully inconsistent with Mr. Rayment’s observations” (Dkt. 13, pp. 17-18). Mr. Rayment submitted a third party report and opined that plaintiff “has a hard time either standing or sitting for any length of time” due to pain (AR. 235). Mr. Rayment noted that plaintiff must alternate between standing, sitting, and lying down after completing any chores or other activities (AR. 235-36). Mr. Rayment stated that plaintiff cooks breakfast and dinner, helps with pets by feeding them, and does some household chores with help from him (AR. 235-39). Mr. Rayment also noted that plaintiff is not social since her injury (AR. 240).

The ALJ found that Mr. Rayment's opinion is "of less value" because it is inconsistent with plaintiff's activities and the clinical observations in the record (AR. 25-26). Testimony from "other non-medical sources," such as friends and family members, *see* 20 C.F.R. § 404.1513 (d)(4), may not be disregarded simply because of their relationship to the claimant or because of any potential financial interest in the claimant's disability benefits. *Valentine*, 574 F.3d at 694 (citing *Dodrill v. Shalala*, 12 F.3d 915, 918-19 (9th Cir. 1993)). An ALJ may disregard opinion evidence "if the ALJ 'gives reasons germane to each witness for doing so.'" *Turner*, 613 F.3d at 1224 (quoting *Lewis*, 236 F.3d at 511).

First, the ALJ rejected Mr. Rayment's opinion because it was inconsistent with plaintiff's activities in the record. Inconsistency between a claimant's activities and a lay

1 witness's statement is a germane reason to reject the lay testimony. *Mercer ex rel. Mercer*
2 *v. Astrue*, No. C09-1826-RSM, 2010 WL 4511132, at *4 (W.D. Wash. Sept. 24, 2010),
3 *aff'd*, No. C09-1826-RSM, 2010 WL 4511131 (W.D. Wash. Nov. 1, 2010) (citing
4 *Carmickle*, 533 F.3d at 1164). Here, the ALJ noted that Mr. Rayment's statement
5 regarding plaintiff's need to alternate between lying down, sitting, and standing was
6 undermined by plaintiff's visits to the casino. The ALJ also noted that Mr. Rayment's
7 own statement was inconsistent with respect to plaintiff's activities because Mr. Rayment
8 also noted that plaintiff grocery shops for a couple of hours at a time. Accordingly, the
9 ALJ properly discounted Mr. Rayment's lay witness statement as inconsistent with
10 plaintiff's activities.

12 Second, the ALJ rejected Mr. Rayment's opinion as inconsistent with clinical
13 observations in the record. Although an ALJ may not discredit "lay testimony as not
14 supported by medical evidence in the record," *see Bruce v. Astrue*, 557 F.3d 1113, 1116
15 (9th Cir. 2009) (citation omitted), an ALJ may discredit lay testimony if it conflicts with
16 medical evidence, even though it cannot be rejected as unsupported by the medical
17 evidence. *See Lewis*, 236 F.3d at 511 (An ALJ may discount lay testimony that "conflicts
18 with medical evidence") (citation omitted); *Bayliss*, 427 F.3d at 1218 ("Inconsistency
19 with medical evidence" is a germane reason for discrediting lay testimony) (citing *Lewis*,
20 236 F.3d at 511). Here, the ALJ noted that the work hardening program, in which
21 plaintiff was able to participate for hours at a time, undermined Mr. Rayment's
22 description that plaintiff is required to lie down between activities (AR. 26). Thus, the
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1 second reason the ALJ offered to discount Mr. Rayment's lay witness statement is
2 germane.

(5) Whether the ALJ erred in formulating the RFC, and thus erred at steps four and five.

Finally, based on the foregoing assignments of error, plaintiff argues that the ALJ erred in formulating the RFC and thus erred at steps four and five (*see* Dkt. 13, pp. 18-19). Plaintiff's additional arguments appear premised entirely on her other arguments alleging the ALJ erred in finding her not disabled (*see* Dkt. 13, pp. 18-19). As the Court has already determined the ALJ's disability determination is supported by substantial evidence, the Court find the ALJ did not err in formulating the RFC and at steps four and five.

CONCLUSION

Based on the foregoing discussion, the Court finds the ALJ properly determined plaintiff to be not disabled. Defendant's decision to deny benefits therefore is

AFFIRMED.

Dated this 7th day of November, 2016.


J. Richard Creature
United States Magistrate Judge